

Apr. 25 2001 14:43 L. G. KERENYI JR.,

(914) 421-9031

P.2

04/25/2001 09:23 7067829721

MITCH HARRIS

PAGE 02

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| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number | 020P2304 |
| | First Named Inventor | Nguyen |
| | COMPLETE IF KNOWN | |
| | Application Number | / |
| | Filing Date | |
| | Group Art Unit | |
| | Examiner Name | |

As a below named inventor, I hereby declare (that):

My residence, post office address, and citizenship are as stated below next to my name.

I believe
 (name and filed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS AND METHOD FOR ADAPTIVELY CONTROLLING POWER SUPPLIED TO A HOT-PLUGGABLE SUBSYSTEM

the specification of which
☒ is attached hereto
 OR
☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is essential to patentability as defined in 37 CFR 1.55.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(e) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT International application having a filing date before (a) of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|
| | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 118(a) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|---|
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |

(Page 1 of 2)

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(914) 421-9031

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PAGE 03

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DECLARATION — Utility or Design Patent Application

I hereby state the benefit under 35 U.S.C. 120 of any United States application(s), or 35 U.S.C. 122(a) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.50 which becomes available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent
Number

Parent Filing Date
(MM/DD/YYYY)

Parent Patent Number
(if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to sign all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

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Number Bar Code
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Name

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Name

Registration
Number

☐ Additional registered practitioner(s) names or supplemental Registered Practitioner Information sheet PTO/SB/020 attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 25604 OR ☐ Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1201 and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle if any)

Family Name or Surname

James Hung

Nguyen

Inventor's
Signature

Date

Residence City

San Jose

State CA

Country USA

Citizenship USA

Post Office Address

2474 Glen Duff Way

Post Office Address

City

San Jose

State CA

ZIP 95148

COUNTRY USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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DECLARATION
ADDITIONAL INVENTOR(S)
 Supplemental Sheet
 Page 2 of 2

| | | | | | |
|--|---------------------|---|----|---------|-------|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | Family Name or Surname | | | |
| Khai Minh | | Le | | | |
| Inventor's Signature | | | | Date | |
| Residence: City | Berkeley | State | CA | Country | USA |
| Post Office Address | 20718 Prospect Road | | | | |
| Post Office Address | | | | | |
| City | Berkeley | State | FL | ZIP | 05070 |
| Country | USA | | | | |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | Family Name or Surname | | | |
| | | | | | |
| Inventor's Signature | | | | Date | |
| Residence: City | | State | | Country | |
| Post Office Address | | | | | |
| Post Office Address | | | | | |
| City | | State | | ZIP | |
| Country | | | | | |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle (if any)) | | Family Name or Surname | | | |
| | | | | | |
| Inventor's Signature | | | | Date | |
| Residence: City | | State | | Country | |
| Post Office Address | | | | | |
| Post Office Address | | | | | |
| City | | State | | ZIP | |
| Country | | | | | |

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| | | |
|---|--------------------------|--|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) | Attorney Docket Number | 023P2304 |
| | First Named Inventor | Nguyen |
| | COMPLETE IF KNOWN | |
| | Application Number | / |
| | Filing Date | |
| | Group Art Unit | |
| <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing | OR | <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) |
| Examiner Name | | |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I am the inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS AND METHOD FOR ADAPTIVELY CONTROLLING POWER SUPPLIED TO A HOT-PLUGGABLE SUBSYSTEM

The specification of which (Title of the invention)

☒ is attached hereto OR

☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understood the contents of the above identified specification, including the claims, as amended by any amendments specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under the U.S.C. 110(a)-(d) or 365(a) of any foreign application(s) for patent as inventor's invention, or 365(a) of any PCT International application which designates or elects the United States of America, listed below and have also identified priority by checking the box, any foreign application for patent or invention's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SR02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
| | |

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SR02B attached hereto.

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**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 35 U.S.C. 112 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which becomes available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplementary priority data sheet PTO/SB/025 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
| | | | |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/026 attached hereto.

Direct all correspondence to: ☒ Customer Number 23604 OR ☐ Correspondence address below

| | | | |
|---------|-----------|-----|--|
| Name | | | |
| Address | | | |
| Address | | | |
| City | State | ZIP | |
| Country | Telephone | Fax | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle if any): Family Name or Surname

James Hung Nguyen

Inventor's Signature: *James Hung Nguyen* Date: 04/24/01

Residence: City San Jose State CA Country USA Citizenship USA

Post Office Address: 2474 Glen Drive Way

Post Office Address:

City San Jose State CA ZIP 95148 Country USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/024 attached hereto

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DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 2

| | | | | | | | | |
|--|--|--------------------|-------|---|---------|----------|-------------|--------|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | | |
| Sieng Ton | | | | No | | | | |
| Inventor's Signature | | <i>[Signature]</i> | | Date | | 04/24/01 | | |
| Residence City | | Cupertino | State | CA | Country | USA | Citizenship | USA |
| Post Office Address | | 660 Miller Avenue | | | | | | |
| Post Office Address | | | | | | | | |
| City | | Cupertino | State | CA | ZIP | 95014 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | | |
| David Chalmers | | | | Schle | | | | |
| Inventor's Signature | | <i>[Signature]</i> | | Date | | 04/24/01 | | |
| Residence City | | Cupertino | State | CA | Country | USA | Citizenship | Canada |
| Post Office Address | | 22820 Ricardo Road | | | | | | |
| Post Office Address | | | | | | | | |
| City | | Cupertino | State | CA | ZIP | 95014 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | |
| Given Name (first and middle (if any)) | | | | Family Name or Surname | | | | |
| Ladislav G. | | | | Keranyi | | | | |
| Inventor's Signature | | | | Date | | | | |
| Residence City | | White Plains | State | NY | Country | USA | Citizenship | USA |
| Post Office Address | | 12 Roland Drive | | | | | | |
| Post Office Address | | | | | | | | |
| City | | White Plains | State | NY | ZIP | 10605 | Country | USA |

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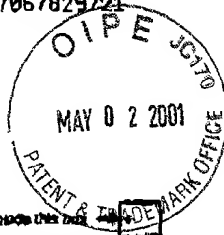
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MITCH HARRIS
4082222704;
APR-25-01 3:35PM;
MITCH HARRIS

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 2

| | | | |
|--|---------------------|---|----------|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Khal Mith | | Lo | |
| Inventor's Signature | Date | | 04/24/01 |
| Residence: City | Sanatoga | State | CA |
| | | Country | USA |
| Post Office Address | 20710 Prospect Road | | |
| Post Office Address | | | |
| City | Sanatoga | State | FL |
| | | ZIP | 33570 |
| | | Country | USA |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| | | | |
| Inventor's Signature | Date | | |
| Residence: City | | State | |
| | | Country | |
| Post Office Address | | | |
| Post Office Address | | | |
| City | | State | |
| | | ZIP | |
| | | Country | |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| | | | |
| Inventor's Signature | Date | | |
| Residence: City | | State | |
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